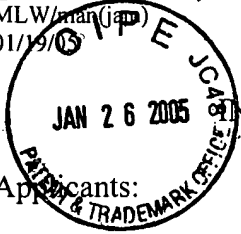


IPW 2654



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steven I. Ross, Elizabeth A. Brownholtz and Jeffrey G. MacAllister  
Application No.: 10/044,760 Group Art Unit: 2654  
Filed: January 10, 2002 Examiner: Not assigned  
Confirmation No.: 8358  
Title: METHOD AND APPARATUS FOR CONVERTING UTTERANCE REPRESENTATIONS INTO ACTIONS IN A CONVERSATIONAL SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
1/24/05	<i>Margaret A. Norcutt</i>
Date	Signature
<i>Margaret A. Norcutt</i>	
Typed or printed name of person signing certificate	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☒ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☒ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first).
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application filewrap.  
(Filed after payment of issue fee)

01/31/2005 AWDNDAF1 00000107 10044760 180.00 DP  
01 FEB 2005

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited references, AL and AR-AS, are enclosed.
- ☐ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
- ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's  
Initials

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

☐ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

☒ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.

☐ Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.

☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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Concord, MA 01742-9133  
Dated: 1/22/05

PTO-1449 REPRODUCED

ATTORNEY DOCKET NO.  
1280.2004-000APPLICATION NO.  
10/044,760FIRST NAMED INVENTOR  
Steven I. RossFILING DATE  
January 10, 2002EXAMINER  
Harper, V. PaulCONFIRMATION NO.  
8358GROUP  
2654INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

JAN 26 2005 January 19, 2005

(Use several sheets if necessary)

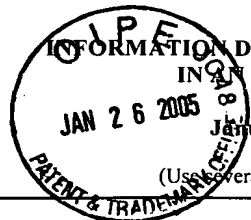
## U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / MM-DD-YYYY	NAME OF PATENTEE OF CITED DOCUMENT
	AA2	4,729,096	03/01/1988	Larson
	AB2	5,101,349	03/31/1992	Tokuume <i>et al.</i>
	AC2	5,239,617	08/24/1993	Gardner <i>et al.</i>
	AD2	5,282,265	01/25/1994	Rohra Suda <i>et al.</i>
	AE2	5,383,121	01/17/1995	Letkeman
	AF2	5,386,556	01/31/1995	Hedin <i>et al.</i>
	AG2	5,677,835	10/14/1997	Carbonell <i>et al.</i>
	AH2	5,867,817	02/02/1999	Catallo <i>et al.</i>
	AI2	5,873,064	02/16/1999	DeArmas <i>et al.</i>
	AJ2	5,918,222	06/29/1999	Fukui <i>et al.</i>
	AK2	6,023,669	02/08/2000	Suda <i>et al.</i>
	AA3	6,073,102	06/06/2000	Block
	AB3	6,138,100	10/24/2000	Dutton <i>et al.</i>
	AC3	6,192,110	02/20/2001	Abella <i>et al.</i>
	AD3	6,314,402	11/06/2001	Monaco <i>et al.</i>
	AE3	6,334,103	12/25/2001	Surace <i>et al.</i>
	AF3	6,466,654	10/15/2002	Cooper <i>et al.</i>
	AG3	6,542,868	04/01/2003	Badt <i>et al.</i>
	AH3	6,604,075	08/05/2003	Brown <i>et al.</i>
	AI3	6,647,363	11/11/2003	Claassen
	AJ3	6,721,706	04/13/2004	Strubbe <i>et al.</i>
	AK3	6,728,692	04/27/2004	Martinka <i>et al.</i>
	AA4	6,748,361	06/08/2004	Comerford <i>et al.</i>

EXAMINER

DATE CONSIDERED

PTO-1449 REPRODUCED


**INFORMATION DISCLOSURE STATEMENT  
IN APPLICATION**

January 19, 2005

(Use several sheets if necessary)

 ATTORNEY DOCKET NO.  
1280.2004-000

 APPLICATION NO.  
10/044,760

 FIRST NAMED INVENTOR  
Steven I. Ross

 FILING DATE  
January 10, 2002

 EXAMINER  
Harper, V. Paul

 CONFIRMATION NO.  
8358

 GROUP  
2654

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION	
					YES	NO
	AL	WO 99/05671	02/04/1999	Knowles Electronics, Inc.		

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AR	MSDN Online Web Workshop, Active Accessibility Support [online], [retrieved on 05/30/2001]. Retrieved from the Internet (URL: <a href="http://msdn.microsoft.com/workshop/browser/accessibility/overview/overview.asp">http://msdn.microsoft.com/workshop/browser/accessibility/overview/overview.asp</a> ) (6 pages).
	AS	Spoken Language Dialog Systems, pp. 1-2, November 15, 2000, <a href="http://www.mriq.edu.au/ltg/slp803D/class/Jones/overview.html">http://www.mriq.edu.au/ltg/slp803D/class/Jones/overview.html</a> (downloaded May 16, 2001).

EXAMINER

DATE CONSIDERED